Sensor and Actuator Fault Tolerant Control of Grid-Tied Microgrid

Heybet Kilic, Musa Yilmaz

Abstract—The rising penetration of the deployed renewable energy resources (DERs) in the electrical grid introduces new challenges in terms of grid's reliable operation. DERs are bonded to the backbone system at a Point of Common Coupling (PCC) at the distribution level, possibly via a micro grid. Micro grids generally operates in grid-tied and islanded modes. In islanded mode, the reactive and active power ought to reach balance with the load demand., while in the grid-tied mode, the active and active powers must be desired criteria defined by the distribution system operator. Therefore, in both cases, proper control is vital to the microgrid's operation and its DERs. In this article, a fault tolerant control (FTC) method bottomed on H_{∞} observer is advised for a DER supplying a grid-tied microgrid to make it resilient against sensor and actuator faults and guarantee its reliable operation.

Index Terms-Distributed energy resources, fault tolerant control, microgrid, sensor and actuator faults.

I. INTRODUCTION

raditional power generation in electrical grids is dependent on fossil fuels, which are known to release carbon dioxide. Because of the increasing demand for power, electrical networks must contend with a substantial amount of power loss, voltage fluctuation, and feeder blockage. Integration of effective power electronic converters with distributed energy sources (DERs) [1]- [2] through the use of microgrids in the distribution grid is a potential approach that may be taken to solve the problem. DERs are distributed energy resources that are typically based on renewable energy sources (such as fuel cells, solar, or wind) and are put in close proximity to areas where customers obtain their electricity. When a DER is deployed, load dependability is improved, and in the event that there is an interruption in power supply, the load demand can be satisfied by operating DERs in an isolated mode. However, the main drawbacks of distributed energy resources (DERs) include the unpredictability of their power output as well as the inherent intermittent nature of renewable energy sources [3]. The solution is controllers for the power electronic interfaces of DERs that are resistant to the failure of sensors and actuators and can regulate DER output power

Heybet Kilic is with the Department of Electric Power and Energy System, Dicle University, Divarbakir, 21280 TURKEY e-mail: heybet.kilic@dicle.edu.tr

Musa Yilmaz with the Department of Electrical and is Electronics, Batman University, Batman, 72000 TURKEY e-mail: musa.yilmaz@batman.edu.tr

This project was supported by the scientific research projects coordinatorship of batman university with the project number of BTU BAP-2019-MMF-03.

Manuscript received January 30, 2023; revised April 12, 2023. DOI: 10.17694/bajece.1244981

to the desired level. It has been determined that this resolution is effective.

Conventional power generation in electrical grids relies on the fossil fuels emitting CO₂. Also, electrical grids have to deal with the considerable power loss, voltage fluctuation, and feeder blockage because of rising load requisition. Combining DERs with high-performance power electronic converters is a realistic solution. [1]- [2] through the microgrid in the distribution grid. DERs are primarily based on renewable energie sources (such as fuel cell, solar, wind) and are installed near electricity consumers. Deploying a DER also improve load dependable in case of the power interruption, the load demand can be met by operating of DERs in islanded mode. However, uncertainty of power output and inherent intermittency of renewable energy sources are often seen as DERs' most significant drawbacks. [3]. The problem can be fixed by using DER power electrical interface controllers, which are resilient in the face of malfunctioning sensors and actuators that regulate the DER's power output.

Although the researchers have been studied the microgrid and distribution network fault detection and protection, studies on the sensor or actuator fault tolerant control(FTC) of microgrid is restricted. There are seveeral approaches to detection sensor fault in power systems [4]-[11]: in [4], two observerbasis sensor failure perception approaches are proposed beacuse of control loops of power system load frequency; in [5], [6], an obscure input observer-established sensor failure determined method purpose of load frequency control loop of interdependent power system is discussed; Kalman filter and chi-squared test used in [7] to define failures or cyber offensive on the phasors-measurement unit (PMU); in [8], an observerbased FTC approcah for the grid-tied microgrid is investigated; a virtual actuator based FTC approach for the power systems is proposed in [9]; in [12], For a wind energy system with a doubly fed induction generator (DFIG) coupled to a microgrid, a new FTC approach is proposed to enable ride through in any voltage sag scenarios, even deep sags. In spite of the researches done so far, there is a lack of sufficient studies on fault tolerant control of the voltage source converter (VSC) coupled DERs in microgrid. Moreover, there is no studies to address the simultaneous sensor and actuator FTC. In addition, researchers and scientists from a wide range of disciplines are interested in studying FTC strategies for sensor and actuator faults [10], [11], [13]–[15].

In this research, an observed-based FTC for the VSCcoupled DER with sensor or/and actuator failures is suggested. The motivation for this paper comes from the aforementioned issues for the reliable operation of microgrids, as well as the paucity of studies on FTC for sensor and actuator faults. In contrast to the study that came before it, the observer that is being offered in this paper is intended to give simultaneous estimation of the system state, flaws in the sensor or actuator, and it consists of the two processes that are outlined below. The accuracy of the observations is improved by first implementing a virtual observer, and then, because the virtual observer takes into account quantities that cannot be measured directly, a real observer is constructed with the assistance of the virtual observer. An observer-based FTC is designed to be implemented in the second step of the process in order to remove the impact that failures and discomforts have on the performance of the DER that is linked to the grid-tied microgrid.

This article is structured as : The Section II, presents the state-space model of microgrid ; The Section III, displays an observer for evaluating controller feedback amplification as well as system states and faluts; the proposed FTC is applied to the mesh microgrid in the Section IV, and its performance is evaluated using several simulataed events; and finally, the article end is in the Section VI.

II. GRID-TIED MICROGRID MODEL

We consider the microgrid as a linear time invariant (LTI) system which is modeled as follows:

$$\Sigma : \begin{cases} \dot{x}(t) = Ax(t) + Bu(t) + D\epsilon(t) \\ y(t) = Cx(t), \end{cases}$$
(1)

where $x(t) = (x_1, x_2, x_3, ..., x_n)^T \in \mathbb{R}^n$ is the state vector of the system, $u(t) = (u_1, u_2, x_3, ..., u_m)^T \in \mathbb{R}^m$ is the control vector, $y(t) = (y_1, y_2, y_3, ..., y_q)^T \in \mathbb{R}^q$ is the output vector of the system, and $\epsilon(t) = (\epsilon_1, \epsilon_2, \epsilon_3, ..., \epsilon_p)^T \in \mathbb{R}^p$ is the external disturbance. Also, $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times m}$, $C \in \mathbb{R}^{q \times n}$, and $D \in \mathbb{R}^{n \times p}$ are the known system matrices. The following set of equations is used to obtain the state space model of a DER in a grid-tied microgrid.

$$\begin{split} \dot{i}_{1d} &= -\frac{R_1}{L_1} i_{1d} + \omega i_{1q} - \frac{v_{cfd}}{L_1} + \frac{v_{dc}}{2L_1} m_d \\ \dot{i}_{1q} &= -\omega i_{1d} - \frac{R_1}{L_1} i_{1q} - \frac{v_{cfq}}{L_1} + \frac{v_{dc}}{2L_1} m_q \\ \dot{i}_{2d} &= \frac{1}{L_2} (v_{cfd} - v_{sd}) + \omega i_{2q} \\ \dot{i}_{2q} &= \frac{1}{L_2} (v_{cfq} - v_{sq}) - \omega i_{2d} \\ \dot{v}_{cfd} &= \frac{1}{C_f} (i_{1d} - i_{2d}) + \omega v_{cfq} \\ \dot{v}_{cfq} &= \frac{1}{C_f} (i_{1q} - i_{2q}) - \omega v_{cfd}, \end{split}$$
(2)

v means the voltage and i means current, d, q denote the dq components, t and f show the DER's terminal and filter parameters, R_1 is the DER-side resistance, and C_f is the capacitor and L_1 is the inductance of DER-side of the LCL filter. The angular frequency is $\omega = 2\pi f$, the grid frequency is f. m_d and m_q shows, modulation indexes and they regulate the DER's output voltage as follows:

$$v_{td} = m_d \frac{v_{dc}}{2}, \ v_{tq} = m_q \frac{v_{dc}}{2}.$$
 (3)

According to (2) and (3), the state, input, and disturbance vectors in (1) are obtained as follows: the state vector is $x = [i_{1q} \quad i_{1q} \quad i_{2d} \quad i_{2q} \quad v_{cfd} \quad v_{cfq}]^T \in \mathbb{R}^{n=6}$; the input vector is $u = [m_d \quad m_q]^T \in \mathbb{R}^{m=2}$; and $\epsilon = [v_{sd} \quad v_{sq}]^T \in \mathbb{R}^{m=2}$ is known as disturbance vector. The matrices of the DER state space model (1) are as follow:

$$\begin{split} \mathbf{A} &= \begin{bmatrix} -\frac{R}{L_1} & \omega & 0 & 0 & -\frac{1}{L_1} & 0 \\ -\omega & -\frac{R}{L_1} & 0 & 0 & 0 & -\frac{1}{L_1} \\ 0 & 0 & -\frac{R}{L_2} & \omega & -\frac{1}{L_2} & 0 \\ 0 & 0 & -\omega & -\frac{R}{L_2} & 0 & -\frac{1}{L_2} \\ \frac{1}{C_f} & 0 & -\frac{1}{C_f} & 0 & 0 & \omega \\ 0 & \frac{1}{C_f} & 0 & -\frac{1}{C_f} & -\omega & 0 \end{bmatrix} \\ B &= \frac{V_{dc}}{2} \begin{bmatrix} \frac{1}{L_1} & 0 \\ 0 & \frac{1}{L_1} \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix}, D = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ -\frac{1}{L_2} & 0 \\ 0 & -\frac{1}{L_2} \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \\ C &= \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}. \end{split}$$

A

The active and reactive powers delivered to the grid by DER at the point of common coupling (PCC) are obtained by:

$$P_{s} = \frac{3}{2}(v_{sd}i_{2d} + v_{sq}i_{2q})$$

$$Q_{s} = \frac{3}{2}(-v_{sd}i_{2q} + v_{sq}i_{2d}).$$
(4)

As the phase locked loop (PLL) provides that $v_{sd} = |V_s|$ and $v_{sq} = 0$, where $|V_s|$ is the voltage magnitude, we can write:

$$P_{s} = \frac{3}{2} |V_{s}| i_{2d}$$

$$Q_{s} = -\frac{3}{2} |V_{s}| i_{2q}.$$
(5)

The objective of the DER's controller is to generate the PWM signals so that i_{2d} and i_{2q} track their corresponding reference values obtained by the desired active $(P_{s_{ref}})$ and reactive powers $(Q_{s_{ref}})$ as the following [16].

$$P_{s_{ref}} = \frac{3}{2} |V_s| i_{2d_{ref}}$$

$$Q_{s_{ref}} = -\frac{3}{2} |V_s| i_{2q_{ref}}.$$
(6)

III. FAULT-TOLERANT CONTROL DESIGN

In the content of this article, we contemplate sensors and actuators failures. whenever sensors failure happens, the actual reading of the system output described as

$$y^{F}(t) = Cx(t) + f_s(t),$$
 (7)

where $f_s \in \mathbb{R}^q$ is the sensor failure. Additionally, whenever an actuators failure happen, the control input described as

$$u(t) = u_F(t) + f_a(t),$$
 (8)

where $f_a \in \mathbb{R}^m$ is the actuator failure, and $u_F(t)$ is the control signal.

To derive the observer and control law, the following assumptions and definition must be considered.

Assumption 1: A and B matrices are controllable, A and C are observable.

Assumption 2: f_a is the element of $\mathcal{L}_2[0,\infty)$

Definition 1: A stable system with \mathcal{H}_{∞} performance must satisfy the following conditions:

- 1) The system must be stable with zero disturbance.
- 2) For an arbitrary positive constant γ with zero initial condition, the following condition must be hold:

$$\int_0^\infty x^T(t)x(t)dt < \gamma^2 \int_0^\infty \epsilon^T(t)\epsilon(t)dt.$$

Three failure scenarios are considered, sensor failure, actuator failure , and simultaneous actuators and sensors failures. All scenarios proceed as follows. Initial, an observer is offered to evaluate system states (1) and the faults. Later, an observerbased controller was developed.

A. Sensor Fault

We contrived the below observer for the system (1) with sensor failure (7):

$$\begin{cases} \dot{\psi}(t) = \mathcal{A}\psi(t) + \mathcal{B}u(t) + \mathcal{L}y^F(t) \\ r_F(t) = \psi(t) + \mathcal{C}y^F(t), \end{cases}$$
(9)

where $\psi(t)$ is an ancillary variant, matrixes \mathcal{A} , \mathcal{B} , \mathcal{C} , and \mathcal{L} are parameters of observer, $r_F(t)$ is the prediction for x(t) and $f_s(t)$.

To contrive the observer for both system, x(t), sensor failure, $f_s(t)$, system (1) with sensor failure (7) reobtained as ;

$$\begin{cases} F_1 \dot{r}(t) = A_0 r(t) + B u(t) + D(t) \\ y_F(t) = F_2 r(t), \end{cases}$$
(10)

where $r(t) = \begin{bmatrix} x(t) \\ f_s(t) \end{bmatrix}$, $A_0 = \begin{bmatrix} A & 0_{n \times q} \end{bmatrix}$, $F_1 = \begin{bmatrix} I_n & 0_{n \times q} \end{bmatrix}$, $F_2 = \begin{bmatrix} C & I_q \end{bmatrix}$. It is clear that $rank\left(\begin{bmatrix} F_1 \\ F_2 \end{bmatrix}\right) = n + q$, which means it is full rank and its inverse exists.

Let $E_1 = \begin{bmatrix} I_n \\ -C \end{bmatrix}$ and $E_2 = \begin{bmatrix} 0_{n \times q} \\ I_q \end{bmatrix}$, following calculate can be done easily:

$$\begin{cases} \begin{bmatrix} F_1 \\ F_2 \end{bmatrix} \begin{bmatrix} E_1 & E_2 \end{bmatrix} = I_{n+q} \\ \begin{bmatrix} E_1 & E_2 \end{bmatrix} \begin{bmatrix} F_1 \\ F_2 \end{bmatrix} = I_{n+q}, \end{cases}$$
(11)

which means $\begin{bmatrix} F_1 \\ F_2 \end{bmatrix}^{-1} = \begin{bmatrix} E_1 & E_2 \end{bmatrix}$. Multiplying E_1 by the both sides of (10) yields:

$$E_1 F_1 \dot{r}(t) = E_1 A_0 r(t) + E_1 B u(t) + E_1 D \epsilon(t), \qquad (12)$$

and using (11), i.e., $E_1F_1 + E_2F_2 = I_{n+q}$, we have:

$$\dot{r}(t) = E_1 A_0 r(t) + E_1 B u(t) + E_1 D \epsilon(t) + E_2 F_2 \dot{r}_F(t).$$
(13)

Now, think of the below conjectural observer:

$$\dot{r}_F(t) = E_1 A_0 r_F(t) + E_1 B u(t) + E_1 D \epsilon(t) + E_2 F_2 \dot{r}_F(t) + L(y^F(t) - F_2 r_F(t)),$$
(14)

An observer gain matrix denoted by L. Error dynamics are achieved by defining the estimating error as $\eta(t) = r(t) - r_F(t)$.

$$\dot{\eta}(t) = (E_1 A_0 - LF_2)\eta(t) + E_1 D\epsilon(t).$$
(15)

For an arbitrary positive stable δ , fault (15) will be asymptotically constant with the inconvenience emaciation level δ , if a positive matrix $P \in \mathbb{R}^{(n+q)\times(n+q)}$ exists, any matrix $H \in \mathbb{R}^{(n+q)\times q}$ satisfies the below linear matrix inequality (LMI):

$$\Pi = \begin{bmatrix} \Delta & PE_1D \\ * & -\delta^2I \end{bmatrix} < 0, \tag{16}$$

where $\Delta = PE_1A_0 + A_0^T E_1^T P - HF_2 - F_2^T H^T + I$. The parameters of observer (9) are obtained as follows.

$$\mathcal{A} = E_1 A_0 - LF_2, \quad \mathcal{B} = E_1 B, \quad \mathcal{C} = E_2$$

$$\mathcal{L} = L - (E_1 A_0 - LF_2) E_2, \quad L = P^{-1} H.$$
 (17)

Contrived an H_{∞} observer for system (1), acquired $r_F(t)$ as the conjectural value for x(t) and $f_s(t)$. Acquired the observer controller is ready. $u(t) = KF_1r_F(t)$, The observer-based control input is of the form, where K is the control gain. This results in a rewrite of the system (1) as:

$$\dot{x}(t) = Ax(t) + BKF_1r_F(t) + D\epsilon(t)$$

= $(A + BK)x(t) - BKF_1\eta(t) + D\epsilon(t)$ (18)
= $(A + BK)x(t) - Bd(t) + D\epsilon(t),$

where $d(t) = KF_1\eta(t)$.

For an arbitrary positive stable α , designed observer (9) with parameters (17) with sensor fault (7) will be asymptotically constant with the inconvenience emaciation level γ , if a positive matrix $S \in \mathbb{R}^{n \times n}$ and any matrix $G \in \mathbb{R}^{m \times n}$ fulfil the below LMI:

$$\begin{bmatrix} \overline{\Delta} & S & -B & D \\ * & -I & 0 & 0 \\ * & * -\alpha I & 0 \\ * & * & * & \delta^2 I \end{bmatrix} < 0,$$
(19)

where $\overline{\Delta} = AS + SA^T + BG + G^TB^T$. The control gain K and disturbance attenuation level γ can be calculated as follow:

$$K = GS^{-1}$$

$$\gamma = \sqrt{(\alpha \lambda_{max} (K^T K) + 1)\delta^2}.$$

B. Actuator Fault

To get an idea of the state of the system (1), x(t), actuator fault, $f_a(t)$, Planned below observer:

$$\begin{cases} \dot{\bar{\psi}}(t) = \bar{\mathcal{A}}\bar{\psi}(t) + \bar{\mathcal{B}}u_F(t) + \bar{\mathcal{L}}y(t) \\ \bar{r}_F(t) = \bar{\psi}(t) + \bar{\mathcal{C}}y(t), \end{cases}$$
(20)

where $\bar{\psi}(t)$ is an ancillary variant, matrixes $\bar{\mathcal{A}}$, $\bar{\mathcal{B}}$, $\bar{\mathcal{C}}$, and $\bar{\mathcal{L}}$ are the observer parameters, $\bar{r}_F(t)$ is the prediction of x(t), $f_a(t)$.

Whenever an actuator failure happen, system (1) reformulated :

$$\begin{cases} \bar{E}_1 \dot{\bar{r}}(t) = A_1 r(t) + B u_F(t) + D \epsilon(t) \\ y(t) = \bar{E}_3 r(t), \end{cases}$$
(21)

where $\bar{r}(t) = \begin{bmatrix} x(t) \\ f_a(t) \end{bmatrix}$, $A_1 = \begin{bmatrix} A & B \end{bmatrix}$, $\bar{E}_1 = \begin{bmatrix} I_n & 0_{n \times m} \end{bmatrix}$, $\bar{E}_3 = \begin{bmatrix} C & 0_{q \times m} \end{bmatrix}$. Defining $\bar{E}_2 = \begin{bmatrix} B_1 C & I_m \end{bmatrix}$ where $B_1 \in \mathbb{R}^{m \times q}$ is a full column rank (if m > q) or row rank (if m < q) matrix, it is clear that $rank\left(\begin{bmatrix} \bar{E}_1 \\ \bar{E}_2 \end{bmatrix}\right) = n + m$ that means it is full rank, therefore its reverse consists.

is full rank, therefore its reverse consists. Let $\bar{F}_1 = \begin{bmatrix} I_n \\ -B_1C \end{bmatrix}$ and $\bar{F}_2 = \begin{bmatrix} 0_{n \times m} \\ I_m \end{bmatrix}$, following calculate can be done easily:

$$\begin{cases} \begin{bmatrix} \bar{E}_1 \\ \bar{E}_2 \end{bmatrix} \begin{bmatrix} \bar{F}_1 & \bar{F}_2 \end{bmatrix} = I_{n+m} \\ \begin{bmatrix} \bar{F}_1 & \bar{F}_2 \end{bmatrix} \begin{bmatrix} \bar{E}_1 \\ \bar{E}_2 \end{bmatrix} = I_{n+m}, \end{cases}$$
(22)

which means $\begin{bmatrix} \bar{E}_1 \\ \bar{E}_2 \end{bmatrix}^{-1} = \begin{bmatrix} \bar{F}_1 & \bar{F}_2 \end{bmatrix}$. Multiplying \bar{F}_1 by the both sides of (21) and using (22) yields:

$$\dot{\bar{r}}(t) = \bar{F}_1 A_1 \bar{r}(t) + \bar{F}_1 B u_F(t) + \bar{F}_1 D \epsilon(t) + \bar{F}_2 \bar{E}_2 \dot{\bar{r}}(t).$$
(23)

Now, consider the following virtual observer:

$$\dot{\bar{r}}_F(t) = \bar{F}_1 A_1 \bar{r}_F(t) + \bar{F}_1 B u_F(t) + E_1 D \epsilon(t) + \bar{F}_2 \bar{E}_2 \dot{\bar{r}}(t)
+ \bar{L} (y^F(t) - \bar{E}_3 \bar{r}_F(t)),$$
(24)

An observer gain matrix denoted by L. Error dynamics are achieved by defining the estimating error as $\eta(t) = r(t) - r_F(t)$.

$$\dot{\bar{\eta}}(t) = \left(\bar{F}_1 A_1 - \bar{L}\bar{E}_3\right)\bar{\eta}(t) + \bar{F}_D D\epsilon(t), \qquad (25)$$

where $\bar{\epsilon}(t) = \begin{bmatrix} \epsilon(t) \\ f_a(t) \end{bmatrix}$, $\bar{F}_D = \begin{bmatrix} \bar{F}_1 D & \bar{F}_2 \end{bmatrix}$.

For an optional positive stable $\overline{\delta}$, fault (25) will be asymptotically constant with inconvenience emaciation level δ , if a positive matrix $\overline{P} \in \mathbb{R}^{(n+q)\times(n+q)}$ exists and any matrix $\overline{H} \in \mathbb{R}^{(n+q)xq}$ below LMI:

$$\overline{\Pi} = \begin{bmatrix} \$ & \overline{PF}_D \\ \ast & -\overline{\delta}^2 I \end{bmatrix} < 0, \tag{26}$$

where $\$ = \overline{PF}_1A_1 + A_1^T\overline{F}_1^T\overline{P} - \overline{HE}_3 - \overline{H}_3^T\overline{H}^T + I$. The parameters of observer (20) are as follows.

$$\overline{\mathcal{A}} = \overline{F}_1 A_1 - \overline{L}\overline{E}_2, \quad \overline{\mathcal{B}} = \overline{F}_1 B, \quad \overline{\mathcal{C}} = B_1 \overline{F}_2 \overline{\mathcal{L}} = \overline{L} - (\overline{F}_1 A_1 - \overline{L}\overline{E}_3) \overline{F}_2 B_1, \quad \overline{L} = \overline{P}^{-1} H.$$
(27)

Now, we can reproduce the observer-based controller utilization by $r_F(t)$, which is acquired from the created H_{∞} observer (20) with parameters (27) convincing LMI (26) and inconvenience emaciation level $\overline{\delta}$.

The following form is the input for the observer-based controller.

$$u_F(t) = (\overline{KE}_1 - \overline{E}_4)\overline{r}_F(t), \qquad (28)$$

where $\overline{E}_4 = \begin{bmatrix} 0_{m \times n} & I_m \end{bmatrix}$, and \overline{K} means the control gain. Then we can obtain system (1) with actuator fault (8) as below.

$$\dot{x}(t) = Ax(t) + B((\overline{KE}_1 - \overline{E}_4)\overline{r}_F(t) + f_a(t)) + D\epsilon(t)$$

$$= (A + B\overline{K})x(t) - B(\overline{KE}_1 + \overline{E}_4)\overline{\eta}(t) + D\epsilon(t)$$

$$= (A + B\overline{K})x(t) - B\overline{d}_1(t) + B\overline{d}_2(t) + \overline{D}\overline{\epsilon}(t),$$
(29)

where $\overline{D} = \begin{bmatrix} D & 0 \end{bmatrix}$, $\overline{d}_1(t) = \overline{KE}_1 \overline{\eta}(t)$, and $\overline{d}_2(t) = \overline{E}_4 \overline{\eta}(t)$.

For an arbitrary positive stable $\overline{\alpha}$ and $\overline{\beta}$ created observer (19) with parameters (27) compensate LMI (26). The system (1) with actuator fault (8) will be asymptotically constant with inconvenience emaciation level $\overline{\gamma}$, if a positive matrix $\overline{S} \in \mathbb{R}^{n \times n}$ and any matrix $\overline{G} \in \mathbb{R}^{m \times n}$ compensates the below LMI:

$$\begin{bmatrix} \$ & \overline{S} & -B & B & D \\ \ast & -I & 0 & 0 & 0 \\ \ast & \ast & -\overline{\alpha}I & 0 & 0 \\ \ast & \ast & \ast & -\overline{\beta}I & 0 \\ \ast & \ast & \ast & \ast & -\overline{\delta}^2 \end{bmatrix} < 0,$$
(30)

where $\overline{\$} = A\overline{S} + \overline{S}A^T + B\overline{G} + \overline{G}^T B^T$. The control gain K and disturbance attenuation level γ can be calculated as follows:

$$\overline{K} = \overline{GS}^{-1}$$
$$\overline{\gamma} = \sqrt{(\overline{\alpha}\lambda_{max}(\overline{K}^T\overline{K}) + 1)\overline{\delta}^2}.$$

C. Sensor and Actuator Faults

Content of this subsection ; sensors and actuators failures are contemplated. For that purpose initial contemplate the below observer by us :

$$\begin{cases} \dot{\hat{\psi}}(t) = \hat{\mathcal{A}}\hat{\psi}(t) + \hat{\mathcal{B}}u_F(t) + \hat{\mathcal{L}}y^F(t) \\ \hat{r}_F(t) = \hat{\psi}(t) + \hat{\mathcal{C}}y^F(t), \end{cases}$$
(31)

where $\hat{\psi}(t)$ is an ancillary variant, matrixes \hat{A} , \hat{B} , \hat{C} , and $\hat{\mathcal{L}}$ are the observer parameters, and $\hat{r}_F(t)$ is the estimation of x(t), $f_s(t)$, $f_a(t)$.

Considering the similar prosess of the preceding subsections, system (1) with sensors failure (7) and actuators failure (8) presented as follow:

$$\begin{cases} \dot{\hat{r}}(t) = \widehat{F}_1 A_2 \widehat{r}(t) + \widehat{F}_1 B u_F(t) + \widehat{F}_1 D \epsilon(t) \\ + (\widehat{F}_2 \widehat{E}_2 + \widehat{F}_3 \widehat{E}_3) \dot{\hat{r}}(t) \\ y^F(t) = \widehat{E}_2 \widehat{r}(t), \end{cases}$$
(32)

where $\hat{r} = \begin{bmatrix} x^T(t) & f_s^T(t) & f_a^T(t) \end{bmatrix}^T$, $A_2 = \begin{bmatrix} A & 0_{n \times q} & B \end{bmatrix}$, and

$$\widehat{E} = \begin{bmatrix} \frac{E_1}{\widehat{E}_2} \\ \hline \widehat{E}_3 \end{bmatrix} = \begin{bmatrix} I_n & 0_{n \times q} & 0_{m \times q} \\ \hline C & I_q & 0_{q \times m} \\ \hline B_1 C & B_1 & I_m \end{bmatrix}$$
$$\widehat{F} = \begin{bmatrix} \widehat{F}_1 & \widehat{F}_2 & \widehat{F}_3 \end{bmatrix} = \begin{bmatrix} I_n & 0_{n \times q} & 0_{n \times m} \\ -C & I_q & 0_{q \times m} \\ 0_{m \times n} & -B_1 & I_m \end{bmatrix}$$

where \hat{E} is a full rank matrix with an reverse matrix is $\hat{E}^{-1} = \hat{F}$. Then, virtual observer design as follow:

$$\dot{\hat{r}}_{F}(t) = \hat{F}_{1}A_{2}\hat{r}_{F}(t) + \hat{F}_{1}Bu_{F}(t) + (\hat{F}_{2}D\epsilon(t) + \hat{F}_{3}B1_{1})\hat{E}_{2}\dot{\hat{r}}(t) + \hat{L}(y^{F}(t) - \hat{E}_{2}\hat{r}_{F}(t)),$$
(33)

An observer gain matrix denoted by L. Error dynamics are achieved by defining the estimating error as $\eta(t) = r(t) - r_F(t)$.

$$\dot{\hat{\eta}}(t) = (\hat{F}_1 A_2 - \hat{L} \hat{E}_2) \hat{\eta}(t) + \hat{D} \hat{\epsilon}(t),$$
 (34)

where $\hat{\epsilon}(t) = \begin{bmatrix} \epsilon(t) \\ f_a(t) \end{bmatrix}$, and $\hat{D} = \begin{bmatrix} D & 0 \\ -CD & I \end{bmatrix}$.

For an arbitrary positive constant $\hat{\delta}$, error (34) will be asymptotically constant with inconvenience emaciation level $\hat{\delta}$, if a positive matrix $\hat{P} \in \mathbb{R}^{(n+m+q)\times(n+m+q)}$ exists, any matrix $\hat{H} \in \mathbb{R}^{(n+m+q)\times q}$ satisfies below LMI:

$$\widehat{\Pi} = \begin{bmatrix} \Xi & \widehat{P}\widehat{D} \\ * & -\widehat{\delta}^2 I \end{bmatrix} < 0, \tag{35}$$

where $\Xi = \hat{P}\hat{F}_1A_2 + A_2^T\hat{F}_1^T\hat{P} - \hat{H}\hat{E}_2 - \hat{E}_2^T\hat{H}^T + I$. The parameters of observer (31) are as follows.

$$\widehat{\mathcal{A}} = \widehat{F}_1 A_2 - \widehat{L} \widehat{E}_2 \quad \widehat{\mathcal{B}} = \widehat{F}_1 B \quad \widehat{\mathcal{C}} = \widehat{F}_4 \quad \widehat{L} = \widehat{P}^{-1} \widehat{H}$$
$$\widehat{\mathcal{L}} = \widehat{L} - (\widehat{F}_1 A_2 - \widehat{L} \widehat{E}_2) \widehat{F}_4 B_1 \quad \widehat{F}_4 = \begin{bmatrix} 0_{n \times q} \\ I_q \\ 0_{m \times q} \end{bmatrix}.$$
(36)

The observer-based control input ;

$$u_F(t) = (\hat{K}\hat{E}_1 - \hat{E}_4)r_F(t), \tag{37}$$

where $\hat{E}_4 = \begin{bmatrix} 0_{m \times n} & 0_{m \times q} & I_m \end{bmatrix}$, and \hat{K} is the control gain. System (1) with both sensor failure (7) and actuator failure (8) happen

$$\dot{x}(t) = Ax(t) + B((\hat{K}\hat{E}_{1} - \hat{E}_{4})\hat{r}_{F}(t) + f_{a}(t)) + D\epsilon(t)$$

$$= (A + B\hat{K})x(t) - B(\hat{K}\hat{E}_{1} + \hat{E}_{4})\hat{\eta}(t) + D\epsilon(t)$$

$$= (A + B\hat{K})x(t) - B\hat{d}_{1}(t) + B\hat{d}_{2}(t) + \overline{D}\overline{\epsilon}(t),$$
(38)

Where $\hat{d}_1(t) = \hat{K}\hat{E}_1\hat{\eta}(t), \ \hat{d}_2(t) = \hat{E}_4\hat{\eta}(t), \ \overline{D}$ is defined (33).

For an arbitrary positive stable $\hat{\alpha}$ and $\hat{\beta}$ designed observer (31) with parameters (36)satisfy LMI (35). The system (1)with sensor failure (7), with actuator failure (8) is asymptotically constant with inconvenience emaciation level $\hat{\gamma}$, if a positive matrix $\hat{S} \in \mathbb{R}^{n \times n}$, any matrix $\hat{G} \in \mathbb{R}^{m \times n}$ satisfies following LMI:

$$\begin{bmatrix} \Xi & S & -B & B & D \\ * & -I & 0 & 0 & 0 \\ * & * & -\widehat{\alpha}I & 0 & 0 \\ * & * & * & -\widehat{\beta}I & 0 \\ * & * & * & * & -\widehat{\delta}^2 \end{bmatrix} < 0, \quad (39)$$

where $\widehat{\Xi} = A\widehat{S} + \widehat{S}A^T + B\widehat{G} + \widehat{G}^TB^T$. The control gain K and disturbance attenuation level $\widehat{\gamma}$ can be calculated as follow: $\widehat{K} = \widehat{G}\widehat{S}^{-1}$ $\widehat{\gamma} = \sqrt{(\widehat{\alpha}\lambda_{max}(\widehat{K}^T\widehat{K}) + 1)\widehat{\delta}^2}.$

IV. NUMERICAL SIMULATION

As discussed, the main objective of the FTC method is to make the grid-tied microgrid resilient against the impact of failures and inconveniences. The cases considered for the simulation are sensor failure, actuator failure and simultaneous sensor and actuator failuress. The simulated microgrid, which is related to the distribution grid, in Fig. 1. The DER connected to the microgrid is controlled by the designed observer-based FTC which its diagram is shown in Fig. 2



Fig. 1. The simulated grid-tied microgrid.

The simulated distribution grid symbolizes a part of the Canadian reference dispersion system [17]. The microgird consists of an inverter-based DER, a diesel-based synchronous dispersion generator (DG), an induction motor load, and an RLC load. A diesel engine regulator and an IEEE ST1A excitation system are combined to construct the synchronous DG unit. The inverter-based DER, which is related to the distribution grid at PCC through an LCL filter, consists of a three-phase VSC based on IGBT controlled by space-vector PWM. The data of the excitation system, the synchronous DG unit, the diesel engine regulator and the parameters of the induction motor are taken from [17]. The parameters of the



Fig. 2. FTC diagram of the VSC-coupled DER.

simulated microgrid are as follows: L= 100 H; R= 0.75 Ω ; the line to line rms voltage 480 V; the AC system frequency $\omega = 377$ rad/s.

To assess the efficiency of the intended FTC, the following events are executed in the microgrid: at t = 0.2 s, $P_{s_{ref}} = 0$ and $Q_{s_{ref}} = 0$ where $P_{s_{ref}}$ and $Q_{s_{ref}}$ are the reference reactive and active powers; at t = 0.25 s, $P_{s_{ref}}$ is subjected to

a step change from 0.0 MW to 3.0 MW; at t = 0.40 s, $P_{s_{ref}}$ has a step change from 2.3 MW to -3.3 MW; and finally, at t= 0.4 s, $Q_{s_{ref}}$ has a step change from 0.0 MVAr to 1.5 MVAr.

The sensor and fault estimation is investigated by LMI which is achieved through two step. The first stage involves using a virtual observer to increase the precision of the observations. As the virtual observer does not include any quantifiable values, the real observer design is also included at this stage. In the second step, based on the real observer, FTC is constructed. The obtained results are based on the current on the q-frame. Fig.3, Fig. 4 and Fig. 5 show actuator, sensor and simultaneous fault cases, respectively.



Fig. 3. FTC response for actuator fault on q-frame current.



Fig. 4. FTC response for sensor faults on q-frame current.



Fig. 5. FTC response for Sensor and actuator faults on q-frame current.

V. EXPERIMENTAL VERIFICATION

The fault-tolerant control algorithm proposed in this study has been experimentally validated for a grid-connected DER. The experimental setup is shown in Figure 6. The dSpace 1103 card was used as the control card in the experiment. Other components used in the experimental setup are shown in Figure 6 with their connections. The DER unit is represented as a DC source as shown in figure 6 and is connected to the mains via a transformer through an LC filter.

Figure 7 and figure 8 show actuator fault without FTC and actuator fault with FTC, respectively. As shown in Figure 8, the proposed fault tolerance algorithm accurately detects and tolerates actuator faults and sets it to the reference value.

On the other hand, sensor faults without FTC and sensor faults with FTC are shown in Figure 9 and Figure 10, respectively. As shown in Figure 10, the proposed fault tolerance



Fig. 6. Experimental Setup.



Fig. 7. Actuator faults without FTC.

algorithm accurately detects and tolerates sensor faults and sets it to the reference value.

VI. CONCLUSION

An observer-based FTC was created for a VSC-coupled DER in this article. The VSC-coupled DER is connected to the distribution grid through a microgrid. The proposed FTC design was accomplished through the following two steps: first, a H_{∞} observer was designed to estimate the system state and sensor/actuator fault; second, the estimated fault



Fig. 8. Actuator faults with FTC.



Fig. 9. Sensor faults without FTC.



Fig. 10. Sensor faults with FTC.

obtained by the observer was used to calculate the feedback control gain in a manner that satisfies the defined LMI and the disturbance attenuation level; and third, the proposed FTC design was implemented. Both theoretical modeling and actual experimentation have been used to validate the functionality of the proposed FTC. The suggested FTC was able to manage the DER in such a way that its output powers followed the values that were required by carrying out many step adjustments in the reference active and reactive powers when there was a failure in either the sensor or the actuator.

ACKNOWLEDGMENT

This project was supported by the scientific research projects coordinator- ship of batman university with the project number of BTU BAP-2019-MMF-03.

REFERENCES

- [1] D. E. Olivares, A. Mehrizi-Sani, A. H. Etemadi, C. A. Cañizares, R. Iravani, M. Kazerani, A. H. Hajimiragha, O. Gomis-Bellmunt, M. Saeedifard, R. Palma-Behnke, and G. A. Jimenez-Estevez, "Trends in microgrid control," *IEEE Transactions on Smart Grid*, vol. 5, no. 4, pp. 1905–1919, 2014.
- [2] S. M. Kaviri, M. Pahlevani, P. Jain, and A. Bakhshai, "A review of ac microgrid control methods," in *IEEE 8th International Symposium on Power Electronics for Distributed Generation Systems (PEDG)*, 2017, pp. 1–8.
- [3] Y. Yoldas, A. Onen, S. M. Muyeen, A. V. Vasilakos, and I. Alan, "Enhancing smart grid with microgrids: Challenges and opportunities," *Renewable and Sustainable Energy Reviews*, vol. 72, no. , pp. 205–214, 2015.
- [4] F. Caliskan and I. Genc, "A robust fault detection and isolation method in load frequency control loops," *IEEE Transactions on Power Systems*, vol. 23, no. 4, pp. 1756–1767, 2009.
- [5] R. L. de Araujo Ribeiro, C. B. Jacobina, E. R. C. da Silva, and A. M. N. Lima, "Fault detection of open-switch damage in voltage-fed pwm motor drive systems," *IEEE Transactions on Power Electronics*, vol. 18, no. 2, pp. 587–593, 2003.

- [6] T. A. Najafabadi, F. R. Salmasi, and P. Jabehdar-Maralani, "Detection and isolation of speed-, dc-link voltage-, and current-sensor faults based on an adaptive observer in induction-motor drives," *IEEE Transactions* on Industrial Electronics, vol. 58, no. 2, pp. 1662–1672, 2011.
- [7] S. Gholami and M. Aldeen, "Control of distributed energy resources under switching transient between grid-connected and islanded operation modes," in *IEEE Power & Energy Society General Meeting*, 2017, pp. 1–5.
- [8] S. Gholami, S. Saha, and M. Aldeen, "Fault tolerant control of electronically coupled distributed energy resources in microgrid systems," *International Journal of Electrical Power & Energy Systems*, vol. 95, pp. 327–340, 2018.
- [9] M. E. Raoufat, K. Tomsovic, and S. M. Djouadi, "Virtual actuators for wide-area damping control of power systems," *IEEE Transactions on Power Systems*, vol. 31, no. 6, pp. 4703–4711, 2016.
- [10] S. Misra, P. V. Krishna, V. Saritha, H. Agarwal, A. V. Vasilakos, and M. S. Obaidat, "Learning automata-based fault-tolerant system for dynamic autonomous unmanned vehicular networks," *IEEE Systems Journal*, vol. 11, no. 4, pp. 2929–2938, 2017.
- [11] S. Akhlaghi and N. Zhou, "Adaptive multi-step prediction based ekf to power system dynamic state estimation," in *IEEE Power and Energy Conference at Illinois*, 2017, pp. 1–8.
- [12] M. Blanke, M. Kinnaert, J. Lunze, M. Staroswiecki, and J. Schroder, *Diagnosis and Fault-Tolerant Control.* Berlin, Germany: Springer-Verlag, 2006.
- [13] D. Krokavec, A. Filasová, and P. Liščinský, "On fault tolerant control structures incorporating fault estimation," *Archives of Control Sciences*, no. No 4, 2016. [Online]. Available: http://journals.pan.pl/Content/ 104506/PDF/acsc-2016-0025.pdf
- [14] Dziekan, M. Witczak, and J. Korbicz, "Active fault-tolerant control design for takagi-sugeno fuzzy systems," *Bulletin of the Polish Academy* of Sciences: Technical Sciences, vol. 59, no. No 1, pp. 93–102, 2011. [Online]. Available: http://journals.pan.pl/Content/83281/PDF/13_paper. pdf
- [15] B. Zhang, S. Ping, Y. Long, Y. Jiao, and B. Wu, "Research on topology of a novel three-phase four-leg fault-tolerant npc inverter," *Archives of Electrical Engineering*, vol. vol. 71, no. No 2, pp. 489–506, 2022. [Online]. Available: http://journals.pan.pl/Content/123218/PDF/ art14_internet.pdf
- [16] B. Khaki, H. Kiliç, M. Yilmaz, M. Shafie-Khah, M. Lotfi, and J. P. Catalão, "Active fault tolerant control of grid-connected der: Diagnosis and reconfiguration," in *IECON 2019 45th Annual Conference of the IEEE Industrial Electronics Society*, vol. 1, 2019, pp. 4127–4132.
- [17] A. H. K. Alaboudy, H. H. Zeineldin, and J. Kirtley, "Microgrid stability characterization subsequent to fault-triggered islanding incidents," *IEEE Transactions on Power Delivery*, vol. 27, no. 2, pp. 658–669, 2012.



Heybet kilic received the B.S. degree in electrical electronics engineering, from Gaziantep University, Gaziantep, Turkey in 2009, the M.S. degree in renewable energy, and the Ph.D. degree in power systems from Dicle University, Diyarbakır, Turkey, in 2016 and 2021, respectively. He is an Assistant Professor with Dicle University, Department of electrical power and energy, where he teaches courses on power system, power electronics, and renewable energy systems since 2015. He is also PhD researcher in Faculty of Electrical Engineering, Mathematics

and Computer Science, Electrical Sustainable Energy Department, TU Delft for 3 years. He is also IEEE sensior Member. His research interests include photovoltaics, wind energy conversion, power systems, microgrids, cyber physical-energy systems and data science.



Musa Yılmaz received the M.Sc. degree in Electrical Education from the Marmara University, Istanbul, Turkey, in 2004 and a Ph.D. degree in Electrical Education from the Marmara University, Istanbul, Turkey, in 2013. He currently works as an assistant professor at the Electrical and Electronics Engineering, Energy Engineering, Batman University. He joined Smart Grid Research Center (SMERC), University of California Los Angeles (UCLA) in 2015 to 2016 as visiting scholar. Dr. Yilmaz's principal research interest is smart grid and renewable energy.

He has worked extensively in the areas of smart grid and solar energy, and he (with Biosys LLC), is the inventor of a class of ventilator known as "Biyovent". He has served as an editor-in -chief the Balkan Journal Electrical and Computer Engineering (BAJECE) and European Journal of Technique (EJT). He is also a cofounder of INESEG (Publishing organisation). He has published more than 50 research articles. He has published several books chapter and frequently gives invited keynote lectures at international conferences. He has been Principal Investigator of her research team in several European projects.